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APR 27 2001
PATENT & TRADEMARK OFFICE
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In ~~the~~ Application of:

www.pearsoned.com

Application No. 09/421,870

Examiner: Unknown

For: TRACKABLE OPTICAL DISCS
WITH CONCURRENTLY
READABLE ANALYTE MATERIAL
(As Amended)

BOX AMENDMENT
Assistant Commissioner of
Patents and Trademarks
Washington, D. C. 20231

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Technology Center 2100

Sir:

The undersigned, Richard Burstein, President of BURSTEIN TECHNOLOGIES, INC., hereby appoints Donald Bollella, Registration No. 35,451, of Burstein Technologies, Inc., Suite 200, Irvine, California 92618, telephone number (949) 453-1800, to prosecute this patent application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent. All previous powers of attorney are hereby revoked.

09/421,870

PATENT

Attached hereto in support of the above are:

- A) Request for Entry of Certificate Under 3.73(b);
- B) Certificate Under 3.73(b) listing the chain of ownership;
- C) Relevant assignment from Mark O. Worthington to Burstein Laboratories, Inc.; and
- D) Name change document with certification by the Secretary of State

CORRESPONDENCE INSTRUCTIONS

Please direct all future correspondence to the following address:

Donald Bollella, Esq.
Chief Patent Counsel
BURSTEIN TECHNOLOGIES, INC.
163 Technology Drive, Suite 200
Irvine, CA 92618

Direct all telephone calls to Donald Bollella at (949) 453-1800.

Respectfully Submitted,
BURSTEIN TECHNOLOGIES, INC.



Richard Burstein
President and CEO

Date: April 27, 2001

BURSTEIN TECHNOLOGIES, INC.
163 Technology Drive, Suite 200
Irvine, CA 92618
(949) 453-1800

DB:SB:jc

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Technology Center 2100

09/421,870

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:
Mark O. Worthington

Serial No.: 09/421,870

Filed: October 26, 1999

For: TRACKABLE OPTICAL DISCS
WITH CONCURRENTLY
READABLE ANALYTE MATERIAL
(As Amended)

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Art Unit: 2752

Examiner: Unknown

Attorney Docket No.:
BT11 98100804(US)USX1P1X1

REQUEST FOR ENTRY OF CERTIFICATE
UNDER 37 C.F.R. SECTION 3.73(b) AND SUPPORTING STATEMENT

BOX AMENDMENT
Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

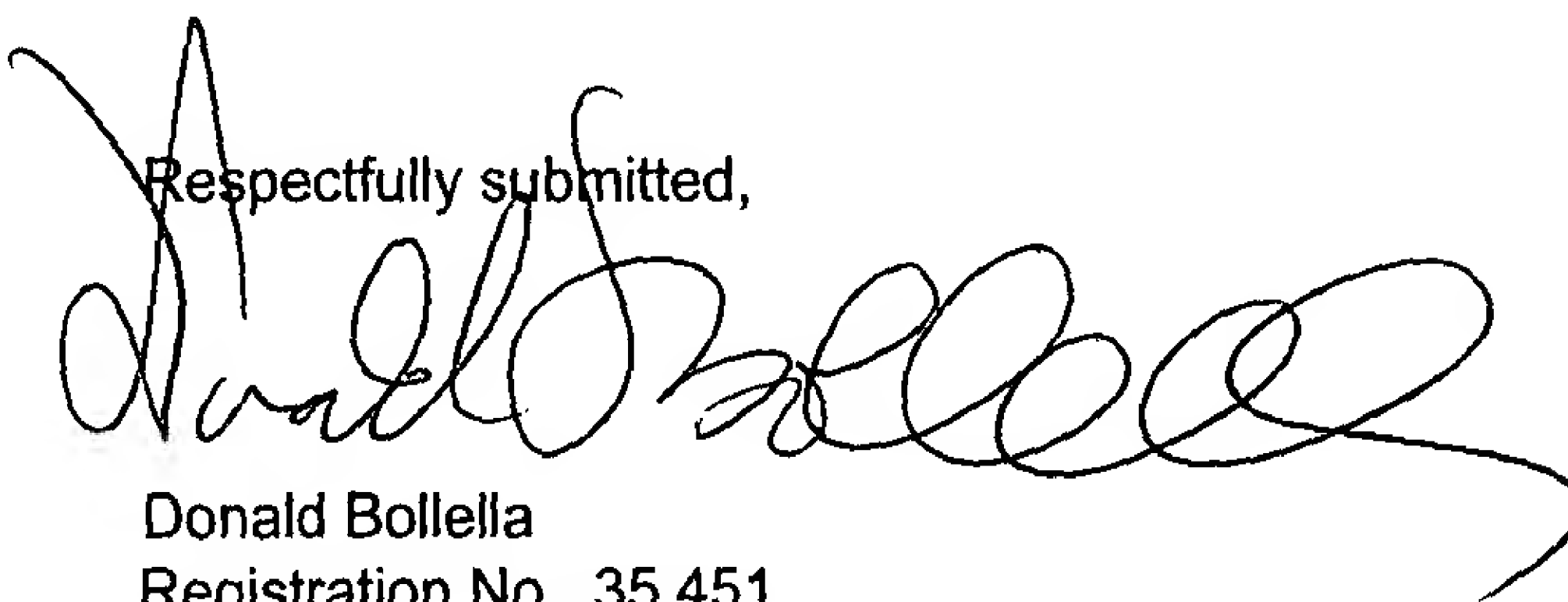
In further support of Assignee's interest herein, Applicant respectfully requests entry of the following Certificate Under 37 C.F.R. Section 3.73(b) in connection with the above-identified application.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 50-1781. A duplicate of this sheet is enclosed.

Date:

April 27, 2001

Respectfully submitted,



Donald Bollella
Registration No. 35,451

BURSTEIN TECHNOLOGIES, INC.
INTELLECTUAL PROPERTY PROSECUTION
163 Technology Drive – Suite 200
Irvine, California 92618
(949) 453-1800

CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Mark O. Worthington

Application No.: 09/421,870 Filed: October 26, 1999 Entitled:

Trackable Optical Discs With Concurrently Readable Analyte Material (As Amended)

Burstein Technologies, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 010576, Frame 0540, or for which a copy thereof is attached;

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: To:
The document was recorded in the Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.

2. From: To:
The document was recorded in the Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.

3. From: To:
The document was recorded in the Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

STATEMENT IN SUPPORT OF ASSIGNEE'S INTEREST

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

April 27, 2001
Date

Signature
Donald Bollella
Chief Patent Counsel

RECORDATION FORM COVER SHEET
PATENTS ONLY

DEPARTMENT OF COMMERCE

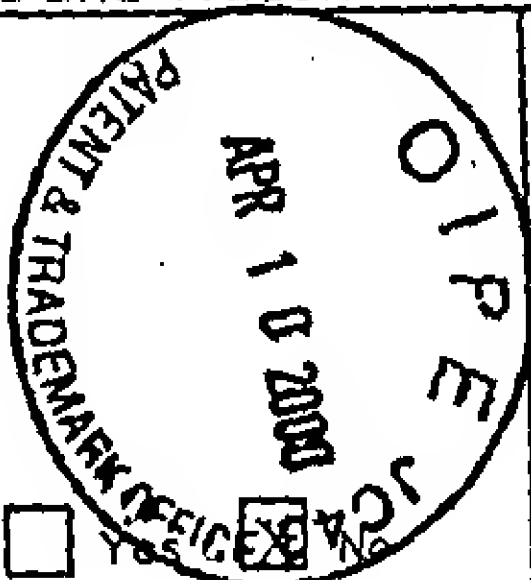
Patent and Trademark Office
DKT: BURST-3 CIP2

Settings

to the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

Name of conveying party(ies):

Mark O. Worthington



Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 1/14/2000

2. Name and address of receiving party(ies):

Name: Burstein Laboratories, Inc.

Internal Address:

Street Address: 15375 Barranca Parkway

Suite I-101

City: Irvine

State: CA

ZIP: 92618

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/421,870

(filed Oct. 26, 1999)

B. Patent No.(s)

MAILED
APR 17 2000

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MAY 04 2001

Additional numbers attached? ☐ Yes ☒ No

Group 2700

Technology Center 2600

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brett G. Alten

Internal Address: Fish & Neave

50th Floor

Street Address: 1251 Avenue of the Americas

City: New York

State: NY

ZIP: 10020

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

06-1075 (Charge deficiency/credit overpayment)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brett G. Alten

Name of Person Signing

Brett Alten

Signature

1-21-00

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

A S S I G N M E N T

WHEREAS, I, Mark O. Worthington, residing at 13841 Tustin East #183, Tustin, California 92780, have made an invention entitled:

TRACKABLE OPTICAL DISCS
WITH CONCURRENTLY READABLE
NONOPERATIONAL STRUCTURES


and have made application for United States Letters Patent thereof, filed October 26, 1999, under Application No. 09/421,870; and

WHEREAS, BURSTEIN LABORATORIES, INC., a corporation organized and existing under the laws of the State of Delaware and having an address of 15375 Barranca Parkway, Suite I-101, Irvine, California 92618, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto the said BURSTEIN LABORATORIES, INC., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereof for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and including the right to claim priority under the International Convention based on said patent application, and any patent which may issue thereon, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

IN TESTIMONY WHEREOF, I have hereunto signed my name
and affixed my seal on the date indicated hereinafter.

ne date indicated hereinaf



Mark O. Worthington

On January 14, 2000 before me, Jennifer A. Hurst, Notary Public, personally appeared Mark O. Worthington proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

JENNIFER A. HURST
COMM. # 1175559
NOTARY PUBLIC-CALIFORNIA
ORANGE COUNTY
COMM. EXP. MAR. 7, 2002

Jennifer A. Hurst

State of Delaware

PAGE 1

Office of the Secretary of State

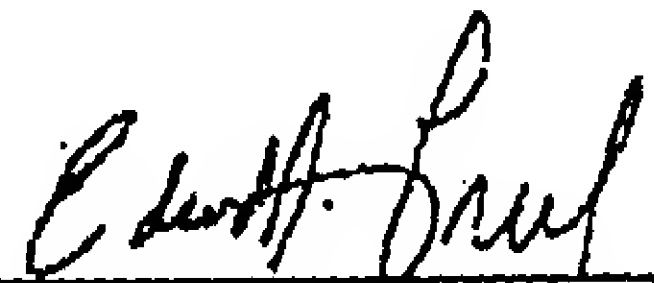
I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BURSTEIN LABORATORIES, INC.", CHANGING ITS NAME FROM "BURSTEIN LABORATORIES, INC." TO "BURSTEIN TECHNOLOGIES, INC.", FILED IN THIS OFFICE ON THE TWENTY-SIXTH DAY OF JANUARY, A.D. 2000, AT 6 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



2519137 8100

001048681


Edward J. Freel, Secretary of State

0231347

AUTHENTICATION:

02-02-00

DATE:

CERTIFICATE OF AMENDMENT

OF

CERTIFICATE OF INCORPORATION

Burstein Laboratories, Inc.

Burstein Laboratories, Inc., a corporation, organized and existing under and by virtue of the General Corporation Law of the State of Delaware,

DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of said corporation, at a meeting duly held, adopted a resolution proposing and declaring advisable the following amendment to the Certificate of Incorporation of said corporation:

RESOLVED, that the Amended Certificate of Incorporation of Burstein Laboratories, Inc. be amended by changing the First Article thereof so that, as amended, said Article shall be and read as follows:

The name of this corporation shall be Burstein Technologies, Inc.

SECOND: That in lieu of a meeting and vote of stockholders, the stockholders have given written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware and written notice of the adoption of the amendment has been given as provided in Section 228 of the General Corporation Law of the State of Delaware to every stockholder entitled to such notice.

IN WITNESS WHEREOF, said Burstein Laboratories, Inc. has caused this certificate to be signed by Ed Deese its Secretary, this 20th day of January, 2000.

By: 

Ed Deese, Secretary